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PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 +
European Search Report + 3 references

Application Number	09/811,682
Filing Date	March 19, 2001
First Named Inventor	Yuji FUJIWARA, et al.
Art Unit	2615
Examiner Name	Thal Q. Tran
Attorney Docket No.	MTS-3237US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts under
37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
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- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below):
 - > PTO Form 1449
 - > European Search Report
 - > 3 references,
 - > return postcard

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

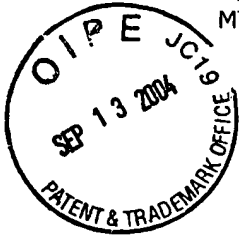
Firm or Individual Name	Daniel N. Calder	Registration No. (Attorney/Agent)	27,424
Signature	<i>Daniel N. Calder</i>		
Date	September 10, 2004		

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Typed or printed name	Fran Petrillo		
Signature	<i>Fran Petrillo</i>	Date	September 10, 2004

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MTS-3237US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No: 09/811,682
Applicants: Yuji FUJIWARA, et al.
Filed: March 19, 2001
Title: SIGNAL RECORDING APPARATUS AND METHOD, SIGNAL
REPRODUCING APPARATUS AND METHOD, MEDIUM, AND
INFORMATION ASSEMBLY
TC/A.U.: 2615
Examiner: Thai Q. Tran
Conf. No.: 9387
Docket No.: MTS-3237US

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Copies of the following references were cited in an Information Disclosure Statement filed April 6, 2004:

1. EP 0 786 905 A
2. "ITU-T Recommendation H.262, International Standard ISO/IEC 13818.2 MPEG-2 Video. Transmission Of Non-Telephone Signals, Information Technology - Generic Coding Of Moving Pictures And Associated Audio Information: Video", ITU-T Telecommunication Standardization Sector of ITU, Geneva, CH, July 1, 1995, pp. 1-211.
3. "Proposed SMPTE Standard for Television Digital Recording - 12.65-MM Type D-9 Component Format-Video Compression-525/60 and 625/50", SMPTE Journal, SMPTE Inc., Vol. 108, No. 6, June, 1999, pp. 406-445.
4. Uchida, et al., "DVCPRO: A Comprehensive Format Overview", SMPTE Journal, SMPTE Inc., Vol. 105, No. 7, July 1, 1996, pp. 406-418.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Daniel N. Calder, Reg. 27,424
Attorney for Applicants

DNC/fp

Enclosures: PTO Form 1449 (2 sheets)
European Search Report
(#3) References
Transmittal Form

Dated: September 10, 2004

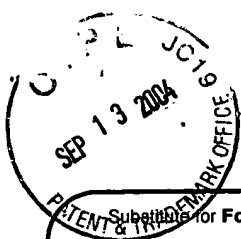
P.O. Box 980
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(610) 407-0700

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Substitution for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/811,682
Filing Date	March 19, 2001
First Named Inventor	Yuji Fujiwara et al.
Art Unit	2615
Examiner Name	Thal Q. Tran
Attorney Docket No.	MTS-3237US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US 5,751,359 A	05/12/98	SUZUKI et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 601 878 A	06/15/94	SONY CORP.		<input type="checkbox"/>
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

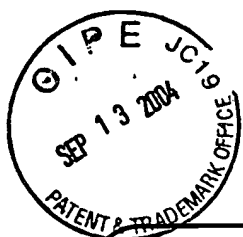
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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Art Unit	2615
Examiner Name	Thal Q. Tran
Attorney Docket No.	MTS-3237US

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report for EP 01 10 6725, dated August 11, 2004.	<input type="checkbox"/>
		ELEFThERiADIS, A, et al.: "Constrained and General Dynamic Rate Shaping of Compressed Digital Video", PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON IMAGE PROCESSING, Washington, Oct. 23-26, 1995, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, Vol. 3, October 23, 1995, pp. 396-399.	<input type="checkbox"/>
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Examiner Signature	Date Considered
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¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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